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# Tropical Extra-tropical thermocline water mass exchanges in the community climate model v.3 Part I: the Atlantic Ocean

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**OSD**

3, 55–84, 2006

**Tropical extra-tropical  
interaction in the  
CCSM**

I. Wainer et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

EGU

## Abstract

The NCAR CCSM numerical coupled model is used to understand the tropical-extra-tropical pathways of thermocline waters in the Atlantic Ocean. Climatological annual mean simulation results from three numerical experiments are analyzed. Two are fully coupled runs with different spatial resolution (T42 and T85) for the atmospheric component. The third numerical experiment is an ocean-only run forced by NCEP winds and fluxes. Results show that the different atmospheric resolutions have a significant impact on the subduction pathways in the Atlantic because of how the wind field is represented. These simulation results also show that the water subducted at the subtropics reaching the EUC is entirely from the South Atlantic. The coupled model ability to simulate the STCs is discussed.

## 1 Introduction

Perhaps one of the most interesting characteristics of the tropical-extra-tropical circulation in the Tropical Atlantic Ocean is the fact that most of the water reaching the equator has Southern Hemisphere origin, in particular within the Equatorial Undercurrent (EUC) i.e. Zhang et al. (2003); Molinari et al. (2003). This is accomplished due to the equatorward flow at the depth of the thermocline by the Subtropical Cells (STC). This shallow overturning cell (confined to the upper 500 m, according to Schott et al., 1998; Snowden and Molinari, 2003; Zhang et al., 2003) is characterized by poleward Ekman flow at the surface, associated upwelling and a return flow which is associated with the subduction processes that transfer interior ocean water into the thermocline. In other words, Ekman pumping injects surface water into intermediate depths along isopycnal surfaces in the subtropics that ventilate the tropics.

Zhang et al. (2003), using geostrophic velocities derived from hydrographical data, describe the mean pathways in the interior and surface ocean for tropical-extra-tropical water exchange. They show that in the North Atlantic, water pathways go around the

OSD

3, 55–84, 2006

## Tropical extra-tropical interaction in the CCSM

I. Wainer et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

EGU

potential vorticity ridge located under the Intertropical Convergence Zone converging on the western boundary. In the Southern Hemisphere the ventilation pathways are spread throughout the interior and include a western boundary route. Their observational analysis will serve as comparison basis for the model simulations analyzed in this work. Their work is described in [Snowden and Molinari \(2003\)](#) who provide a comprehensive review of the Atlantic STC. They point out that in general there is still much to be learned about its variability and structure mostly because of the uneven data distribution and lack of quantitative errors surrounding them. [Grodsky and Carton \(2002\)](#), attempt to look at the near-surface pathways of the Atlantic STC using drifter data. They discuss that the small number of drifters along with their inhomogeneous spatial and temporal distribution, are not enough to quantify the relative importance of the four major pathways they identified. In their study the water exiting the cold tongue region does not lead directly back to the subduction regions.

The modeling studies that have been carried out; i.e. ([Lazar et al., 2002](#); [Malanotte-Rizzoli et al., 2000](#); [Blanke et al., 1999](#)) are ocean only numerical experiments that provide a global description of the pathways associated with the STC circulation. [Lazar et al. \(2002\)](#), and [Malanotte-Rizzoli et al. \(2000\)](#) based their study on the evaluation of the Bernoulli function on isopycnal surfaces, showing that for the South Atlantic STC, nearly all the thermocline flow from the subtropics into the equator passes through the western boundary. In a high resolution modeling study, [Harper \(2002\)](#) using particle trajectories, shows that the subducted water reaching the EUC is entirely from Southern Hemisphere origin. Results of these numerical simulations show considerable differences in the mean pathways within the STCs. These differences can be attributed to differences in model configurations, differences in the simulated MOC (because the STC can be superimposed on its return northward branch and hard to distinguish one from the other) and the wind forcing chosen (see for example, [Inui et al., 2002](#)).

In this qualitative study, the annual subduction rate, upwelling and Lagrangian (sub-surface) trajectories at different isopycnals are examined in order to quantify the STC pathways similar to [Lazar et al. \(2002\)](#).

## Tropical extra-tropical interaction in the CCSM

I. Wainer et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

## 2 The numerical model

Coupled models used in climate change studies have undergone a rapid development in recent years and have in several respects obtained a considerable degree of realism. The CCSM3 (Community Climate System Model) is a numerical coupled model, composed of 4 components: atmosphere, ocean, land surface and sea-ice. The online description can be found at: [www.ccsm.ucar.edu/models/ccsm3.0](http://www.ccsm.ucar.edu/models/ccsm3.0). The CCSM3 system includes new versions of all the component models. The atmosphere is CAM version 3.0 (Collin et al., 2005<sup>1</sup>), the land surface is CLM version 3.0 (Oleson et al. 2004; Dickinson et al. 2005), the sea ice is CSIM version 5.0 (Briegleb et al., 2004), and the ocean is based upon POP version 1.4.3 (Smith and Gent, 2002). New features in each of these components are described in Collin et al., 2005<sup>1</sup>. Each component is designed to conserve energy, mass, total water, and fresh water in concert with the other components.

The dynamical atmosphere model is the Community Atmosphere Model (CAM3, Collins et al., 2005<sup>2</sup>), a global atmospheric general circulation model developed from the NCAR CCM3. In this work, two horizontal resolutions are used both have 26 vertical levels. One has triangular truncation at 42 wave numbers (T42) and the other 85 (T85) which roughly corresponds to a horizontal resolution of 3.75° and 1.4°, respectively. The hybrid vertical coordinate merges a terrain-following sigma coordinate at the bottom surface with a pressure-level coordinate at the top of the model.

The ocean component solves the primitive equations in general orthogonal coordinates in the horizontal with the hydrostatic and Boussinesq approximations. The grid

<sup>1</sup>Collins, W., Blackmon, M., Bitz, C., Bonan, G. B., Bretherton, C. S., Carton, J. A., Chang, P., Doney, S., Hack, J. J., Kiehl, J. T., Henderson, T., Large, W. G., McKenna, D., Santer, B. D., and Smith, R.: The Community Climate System Model: CCSM3, J. Clim., submitted, 2005.

<sup>2</sup>Collins, W., Rasch, P. J., Boville, B. A., Hack, J. J., McCaa, J. R., Williamson, D. L., Briegleb, B. P., Bitz, C. M., Lin, S.-J., and Zhang, M.: The Formulation and Atmospheric Simulation of the Community Atmosphere Model: CAM3, J. Clim., submitted, 2005.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

uses spherical coordinates in the Southern Hemisphere, but in the Northern Hemisphere the pole is displaced into Greenland at 80° N, 40° W. The horizontal grid has 320 (zonal) × 384 (meridional) grid points, and the resolution is uniform in the zonal, but not in the meridional, direction. In the Southern Hemisphere, the meridional resolution is 0.27° at the equator, gradually increasing to 0.54° at 33° S, and is constant at higher latitudes. There are 40 levels in the vertical, whose thickness monotonically increases from 10 m near the surface to 250 m in the deep ocean. The minimum depth is 30 m, and the maximum depth is 5.5 km. The surface fresh water flux is converted into an implied salt flux using a constant reference salinity. Therefore, although the sea surface height varies locally, the ocean volume remains fixed. The domain is global, which includes Hudson Bay, the Mediterranean Sea, and the Persian Gulf. The time step used is one hour, which is small enough that no Fourier filtering is required around the displaced Greenland pole.

The horizontal viscosity is a Laplacian operator that is anisotropic following the formulation of [Smith and McWilliams \(2003\)](#), and uses different coefficients in the east-west and north-south directions. Both coefficients are spatially and temporally variable, depending on the local rates of shear and strain, and the minimum background horizontal viscosity is  $1000 \text{ m}^2 \text{ s}^{-1}$ . The vertical mixing scheme is the KPP scheme of [Large et al. \(1994\)](#). In the ocean interior, the background diffusivity varies in the vertical from  $0.1 \times 10^{-4} \text{ m}^2 \text{ s}^{-1}$  near the surface to  $1.0 \times 10^{-4} \text{ m}^2 \text{ s}^{-1}$  in the deep ocean. The background viscosity has the same vertical profile, but is a factor of ten larger. The parameterization of the effects of mesoscale eddies is that of [Gent and McWilliams \(1990\)](#), with a constant coefficient of  $600 \text{ m}^2 \text{ s}^{-1}$ . Further details on the ocean component of the CCSM3 can be found in [Smith and Gent \(2002\)](#).

The Community Land Model, CLM (Dickinson et al., 2005<sup>3</sup>), is the result of a collaborative project between scientists in the Terrestrial Sciences Section of the Climate and

<sup>3</sup>Dickinson, R. E., Oleson, K. W., Bonan, G. B., Hoffman, F., Vertenstein, P. T. M., Yang, Z.-L., and Zeng, X.: The Community Land Model and Its Climate Statistics as a Component of the Community Climate System Model, *J. Clim.*, submitted, 2005.

## Tropical extra-tropical interaction in the CCSM

I. Wainer et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Global Dynamics Division (CGD) at NCAR and the CCSM Land Model Working Group. Other principal working groups that also contribute to the CLM are Biogeochemistry, Paleoclimate, and Climate Change and Assessment. The land model grid is identical to the atmosphere model grid.

5 The sea-ice component of CCSM is the Community Sea-Ice Model (CSIM, [Briegleb et al., 2004](#)). The sea-ice component includes the elastic-viscous-plastic (EVP) dynamics scheme, an ice thickness distribution, energy-conserving thermodynamics, a slab ocean mixed layer model, and the ability to run using prescribed ice concentrations. It is supported on high- and low-resolution Greenland Pole grids, identical to those used  
10 by the POP ocean model.

The coupled model data analyzed consists of annual averages of temperature, salinity and current velocity from 50 years of two 400 year runs of the NCAR CCSM where the atmosphere has different spatial resolution (T42, T85). The ocean only (POP3) data analyzed is the annual mean average of a 43 year run forced by NCEP/NCAR  
15 winds and fluxes. The South Atlantic is defined by the region between the Equator and 55° S and between 65° W and 20° E.

The uncoupled NCAR CCSM Ocean Model (POP3) is forced in a similar manner as when it is coupled to an atmospheric model. The open-ocean surface boundary conditions are fluxes of momentum, heat, and freshwater. The open-ocean turbulent heat  
20 fluxes are computed from a prescribed atmospheric state using traditional, bulk formulas. The required surface winds, air temperature, and air humidity are obtained from the National Centers for Environmental Prediction (NCEP) global reanalysis dataset; see [E. et al. \(1996\)](#).

25 The numerical results are compared to the Levitus ([Levitus et al., 2000](#)) climatology. Although this is the standard climatology for ocean model versus data intercomparison, caution should be exercised in the equatorial region (where meridional gradients are large) considering the broad smoothing used.

OSD

3, 55–84, 2006

---

## Tropical extra-tropical interaction in the CCSM

I. Wainer et al.

---

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

EGU

### 3 Results

#### 3.1 The tropical annual mean circulation

An important feature of the tropical-extratropical dynamics is the Tropical Cell (TC) which is even shallower than the STC and confined to the tropics. As described in [Molinari et al. \(2003\)](#) they are associated with downwelling driven by the decrease of the poleward Ekman transport just a few degrees off the equator. STCs interact with the TCs. Figure 1 shows at 30° W the mean meridional versus vertical velocity circulation vectors superimposed on the zonal velocity field characterizing the TC for the three numerical experiments. Black contours with selected isopycnal surfaces are also superimposed on Fig. 1. The common feature is the surface Ekman divergence and associated equatorial upwelling through the upper 50 m. The compensating geostrophic subsurface convergence is not so clear in the coupled runs (hereafter referred to as T42 and T85, respectively): there is a clear reversal of the surface poleward flow south of the equator below 100 meters which is not observed north of the equator. There, the flow is significantly reduced, but not convergent. As a matter of fact, there is a maximum in the northward flow for these runs, at 80-m depth, centered exactly at the equator. The forced ocean result (hereafter referred to as POP3) shows the expected convergence associated with the TC at approximately 100 m. The Ekman-driven, divergent flow, at the equator, forces equatorial upwelling and poleward surface flow to form a closed shallow subtropical cell.

In the coupled models, centered at about 8° S there is unrealistic upwelling which can be related to an unrealistic wind field. In fact, examination of the mean wind stress field in Fig. 4 shows that there is a sign reversal in the wind stress curl at 8° S (positive in POP3 (Fig. 4c) and negative for the coupled model runs (Figs. 4a, b). The wind field itself is predominantly zonal at that latitude for POP3. In fact, the weak upwelling in the coupled models are a direct result of the too-weak winds. These also account for the absence of a better defined northern SEC and the surfacing of the EUC.

The mean zonal velocity at 30° W (color shading), with the main isopycnals super-

OSD

3, 55–84, 2006

## Tropical extra-tropical interaction in the CCSM

I. Wainer et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

EGU



imposed in Fig. 1 shows the eastward flowing Equatorial Undercurrent (EUC) characterized by a strong velocity core around 100m depth. Note that the core of the EUC lies in the density range between the  $24.5 \text{ kg m}^{-3} < \sigma_\theta < 26.5 \text{ kg m}^{-3}$  isopycnal surfaces consistent with [Schott et al. \(2004\)](#) and [Molinari et al. \(2003\)](#). However, for each simulation the core is located on a different isopycnal surface ( $24.3 \text{ kg m}^{-3}$  for T42, Fig. 1 left panel;  $24.5 \text{ kg m}^{-3}$  for T85, Fig. 1 right panel and  $25.0 \text{ kg m}^{-3}$  for POP3, bottom panel). Only waters less or near the  $26.5 \text{ kg m}^{-3}$  isopycnal reaches the equator. Denser waters cannot reach the EUC.

The core of the EUC for the coupled numerical experiments has a maximum of approximately 40 cm/s. For the forced case the maximum value of the EUC is at 112m and approximately 10 cm/s stronger. It should be noted that the core is displaced slightly southward (and upward, at 83-m depth) in the coupled runs corresponding to an upper limit of the eastward flow at  $\sigma_\theta = 23.0 \text{ kg m}^{-3}$  for the T85 and  $22.5 \text{ kg m}^{-3}$  for the T42 run which reaches the surface. The maximum EUC velocity for T42 and T85 occurs further west, at  $34^\circ \text{ W}$ , reaching approximately 45 cm/s at 83-m depth. The depth of the EUC core coincides with the depth of the thermocline of the tropical Atlantic. The mean climatological temperature structure is shown in Fig. 2 for all three runs (b–d), together with the [Levitus et al. \(2000\)](#) climatological field (Fig. 2a) and their differences (Figs. 2e–h) at  $30^\circ \text{ W}$ . Equatorial cross-sections can be seen in Figs. 2i–k.

A significant difference between the forced numerical experiment (POP3) and the [Levitus et al. \(2000\)](#) climatology seen in Fig. 2e is right at the equator at the core level (around 100-m depth) showing a  $5^\circ \text{ C}$  difference. A difference of  $4^\circ \text{ C}$  at 80-m depth, at the latitude where the eastward flowing North Equatorial Counter Current (NECC) encounters the westward flowing North Equatorial Current (NEC) is also noted. Differences between POP3 and the two coupled runs (Figs. 2f, g) show a model bias in the thermocline in the tropics descending to 300 m in the subtropics. Differences in both cases are significantly larger for the Northern Hemisphere subtropics with maximum values close to  $8^\circ \text{ C}$  at approximately  $18^\circ \text{ N}$  (within the region of the NEC). Small differences (compared to POP3) in the temperature profiles between the coupled runs show

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

that T85 is warmer than T42 in the southern subtropics, the thermocline and the region poleward of 20° N.

The core of the EUC has temperatures around 20°C, which is about 4–5°C cooler than the surface waters in the equatorial region. From the equatorial temperature sections (Figs. 2i–l) it is evident that the thermocline shoals eastward only in the POP3 run. It is actually almost flat for the coupled runs where there is a reverse east-west temperature gradient with warmer waters in the east. POP3 temperature distribution is closer to the [Levitus et al. \(2000\)](#) Climatology albeit warmer in the surface. In the west (Figs. 2i–l) the thermocline is more diffuse and the 26°C isotherm is very flat with respect to the observations. In [Levitus et al. \(2000\)](#) (Fig. 2l), this isotherm surfaces between 20° W and 0° W and is confined more to the west. At some points (e.g., 25° W) it is locally steeper. The warm bias in the coupled model could also be a result of the too-weak equatorial upwelling.

With respect to the salinity structure, along the EUC poleward boundaries, relatively fresher waters are found (not shown), which can indicate that lower salinity waters may also feed the EUC and recirculate within the South Equatorial Current (SEC). High South Atlantic Water (SAW) salinity values (greater than 36.6 psu) present within the POP3 EUC core agree with the discussion of [Bonhoure et al. \(2003\)](#) about the direct SAW supply to the tropics through the North Brazil Current (NBC) retroflexion.

The annual mean surface horizontal temperature distribution for the three runs (contour interval is 2°C) with the surface current velocity field superimposed can be seen in Fig. 3. The most realistic temperature field is that of POP3 (upper right panel) very similar to [Levitus et al. \(2000\)](#) (Fig. 4, upper left panel), except for the absence of the eastern Atlantic upwelling off Africa, which is an already known bias. The marine stratus regions off the western coasts of North and South America and off Africa are too warm by 2–3 K, resulting from a bias in cloud simulation. According to [Norris and Weaver \(2001\)](#) the atmospheric component of the CCSM overestimates shortwave and long wave cloud radiative forcing when vertical motion is upward (negative omega) and underestimates shortwave and long wave cloud radiative forcing compared to observa-

## Tropical extra-tropical interaction in the CCSM

I. Wainer et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

tions when vertical motion is downward. The coupled model present a reverse gradient in the equatorial region (noted by Davey et al. (2002) in an intercomparison study of 23 different coupled models). Warmer temperatures are found in the eastern equatorial Atlantic rather than in the west as in observations.

The annual mean horizontal current velocity field for all three runs has overall similarities where one can delineate the two tropical gyres and major equatorial (North and South Equatorial Currents, Guyana Current) and western boundary currents (i.e. the North and South Brazil currents are very similar in magnitude for the three runs). The flow is less realistic in the coupled runs. In T42 (left bottom panel) the zonal current is predominantly westward south of the equator but reverses right at the equator extending for the whole width of the Atlantic. This can be interpreted as a southward displacement of the NECC that does not appear in the forced case (Fig. 3, lower panel). In T85 the flow at the equator is also eastward but weaker. In both cases this is due to the surfacing of the EUC. At this latitude the largest zonal current velocity difference between POP3 and the coupled runs occurs at approximately  $10^{\circ}$ – $12^{\circ}$  W and is about  $-45$  cm/s for POP3 minus T42 and  $-30$  cm/s for POP3 minus T85. Another difference in the annual mean velocity field between the coupled runs and the forced case is off Southern Africa in the eastern basin where there seems to be a spurious southward flowing current from approximately  $5^{\circ}$  S to  $25^{\circ}$  S in the coupled runs that merges with the southern branch of the South Equatorial current whereas in POP3 this does not occur.

In order to appreciate some of the differences described, it is important to turn to the forcing. Since STCs are largely influenced by the winds, they are compared, together with the curl, between the three runs and shown in Fig. 4.

The zonal winds are too weak at the equator which accounts for the weak upwelling in the coupled model noted earlier. Weaker winds are also responsible for the absence of a better defined Northern SEC and the surfacing of the EUC. Furthermore, the equatorial winds (off South Africa) are also too weak which accounts for the lack of coastal upwelling and the ill direction of the ocean currents there (i.e. Fig. 3).

## Tropical extra-tropical interaction in the CCSM

I. Wainer et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

It can be seen that the broad-scale spatial characteristics are very similar between the coupled (Figures 4a,b) and forced run (POP3 – Fig. 4c) which is actually the NCEP wind stress. However, more detailed examination reveals that the largest differences are between POP3 and T42 (Fig. 4e). Overall, the curl (and consequently the associated Ekman-pumping velocity field) is significantly stronger in POP3 than in the coupled runs. However, the curl in T42 is stronger compared to POP3 north of the equator with the maximum difference off the African coast of about  $1.5 \text{ N/m}^2$  off Mauritania (Fig. 4e). The same difference between POP3 and T85 is  $1.0 \text{ N/m}^2$  (Fig. 4f). The other region with big differences is also off the African coast but further south, between  $15^\circ$ – $25^\circ$  S. Differences between POP3 and T42 are also the largest reaching  $1.5 \text{ N/m}^2$  off the coast of Namibia (Fig. 4e). It is interesting to note that in this region, the difference between POP3 and T85 is significantly less (Fig. 4f, between  $0.5$ – $1 \text{ N/m}^2$ ). Comparison of the climatological mean wind stress curl between the coupled runs indeed shows that they differ largely in the south-eastern part of the domain. In T85 (Fig. 4d) the negative wind stress curl is stronger but confined to a narrow region hugging the coast of Namibia and Angola (much like the NCEP winds in POP3, Fig. 4c) whereas in T42 the same region is broader and extends further northwest. Note also that the zero line is displaced a few degrees west in T42 as compared to POP3 and T85. The importance of these differences becomes evident once the pathways of tropical extra-tropical exchange of thermocline waters, that are highly dependent on the wind distribution (e.g. Liu and Philander, 1995; Inui et al., 2002) are examined.

### 3.2 The subtropical cells

The STC can be viewed as an extension of the ventilated thermocline theory (i.e. Snowden and Molinari (2003); Malanotte-Rizzoli et al. (2000); Hazeleger et al. (2003); Luyten et al. (1983), etc.) where subtropical thermocline waters that are subducted along specified isopycnals conserve potential vorticity (PV) and are transported to the Equator. At the equator the wind forced upwelling and associated poleward flow are also part of the STC. The equatorward path of the STC is still subject of investigation

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

and is complicated in the South Atlantic due to, among other factors, the superposition of the northward flowing branch of the basin-wide meridional overturning circulation (MOC) that underlies the STC return flow at the western boundary.

One way to look at the characteristics of tropical-extra-tropical exchanges in the CCSM3 and to understand the pathways by which the STC feeds the EUC, is to examine the planetary potential vorticity distribution (PV). Since water that upwells at the equator will originate from  $24.5 < \sigma_\theta < 26.8 \text{ kg/m}^3$  and in order to compare with Zhang et al. (2003), PV contours are plotted on the  $\sigma_\theta = 25.3$  surface for POP3 and can be seen in Fig. 5 overlaying the salinity distribution on the same surface. PV and salinity for the coupled runs are also displayed in Fig. 5 but at  $\sigma_\theta = 24.3 \text{ kg/m}^3$  for T42 and  $\sigma_\theta = 24.0 \text{ kg/m}^3$  for T85. The reason for using different isopycnal surfaces is the fact that the core of the EUC is at a different depth for each run. The isopycnals chosen are exactly the ones that cross the EUC core at  $30^\circ \text{ W}$ , as seen in Fig. 1.

The PV calculations follows the formulation of Cushman-Roisin (1987) as in Lazar et al. (2002) where PV can be approximated by:

$$PV = \frac{f}{\rho_0} \frac{\partial \rho}{\partial z} \quad (1)$$

where  $f$ =Coriolis parameter and  $\rho$ =density.

The flow streamlines for each run, calculated at the isopycnal surfaces representing the depth of the EUC core ( $\sigma_\theta = 25, 24.5, 24.3$  for POP3, T85 and T42, respectively) and shown in Figs. 6. The streamlines, show a distinct asymmetry between hemispheres. For POP3 (lower panel), the interior pathways are observed only in the South Atlantic together with the western boundary route. There is a closed streamline region northeast of the equator (between approximately  $10^\circ \text{ N}$  and  $20^\circ \text{ N}$  induced by the positive Ekman pumping (e.g. Fig. 4) which is a result of the PV ridge seen in Fig. 5. This implies that the subducted waters cannot cross the region towards the equator in order to conserve PV. Instead they are advected westward. Depth of the  $\sigma_\theta = 25.3$  surface increases toward the western side of the basin (not shown) consistent between all three runs and in agreement with Lazar et al. (2002).

## Tropical extra-tropical interaction in the CCSM

I. Wainer et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

The Southern Hemisphere outcrop-line in the coupled runs is displaced poleward with respect to POP3. In the eastern Atlantic, there is a closed circulation which in part is associated with the Angola Gyre, which is formed by one of the branches of the South Equatorial Current in the west, the South Equatorial Counter Current (SECC) and the Benguela Undercurrent (BUC). It can be noted that the Angola Gyre in the coupled runs is further South, off the coast of Namibia. There, within the model's Angola Gyre, lies a region of enhanced negative Ekman pumping (i.e. Fig. 4) and an associated PV trough (Fig. 5). This scenario favors the equatorward flow of subtropical waters directed predominantly towards the western boundary. The circulation shown for POP3 resembles closely the results of Lazar et al. (2002) and Zhang et al. (2002) which includes more uniform interior pathways in the Southern Hemisphere. In the Northern Hemisphere the interior flow makes several turns before moving towards the equator going around the PV barrier (under the ITCZ). In other words, the PV field (Fig. 5) is characterized by the Ekman-pumping induced ridge, associated with the positive curl (Fig. 4) that forces the flow to go around it in a convoluted way limiting the possibility of the existence of interior water pathways in the Northern Hemisphere thermocline. Flow from the Southern Hemisphere in all numerical experiments reaches the Northern Hemisphere tropics through eastward detours in the EUC, NEUC and NECC which is consistent with the review presented by Schott et al. (2004). Due to a limitation in the horizontal resolution it is not possible to observe any contribution due to ring shedding from the NBC retroflection. The North Equatorial Current (NEC), North Equatorial Counter Current (NECC), and Equatorial Undercurrent (NEUC) North Brazil Current (NBC) are dominant features of the STC circulation.

The pathways discussed above are also evident from the salinity distribution on the same isopycnal surfaces (Fig. 5, shading). The differences between the forced and coupled models become clear showing that less dense waters of the South Atlantic make their way into the tropical thermocline in the coupled model runs. Of the model runs, POP3 (Fig. 5a), shows both that the outcrop line is significantly equatorward (which means denser waters of the SAW makes its way into the thermocline) and con-

# Tropical extra-tropical interaction in the CCSM

I. Wainer et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

tribution from both the north and south Atlantic to the equatorial circulation, although the contribution from the Southern Hemisphere is significantly larger. The salinity distribution and PV contours for [Levitus et al. \(2000\)](#) can be seen in Fig. 5d for reference. It is clear that the salinity distribution in POP3 (Fig. 5a) most resembles observations in [Levitus et al. \(2000\)](#). The salinity field is characterized by regions of high salinity (salinity maximum waters) in the North and South subtropical Atlantic ocean. In between these two regions of maximum salinity lies tropical low salinity waters. The coupled runs (Figs. 5b, c) exhibit a significantly different structure. The Northern Hemisphere salinity maxima region, for example, is displaced poleward and is confined to the west of 40° W with a maximum value of approximately 37.5 psu. The equivalent high salinity waters of the Southern Hemisphere in the coupled runs is considerably less dense than in POP3 (approximately 1 psu difference). It is spatially confined to the western boundary, hugging the southeast coast of Brazil with its maximum value of approximately 36 psu centered around 22–23° S. In POP3 the region of maximum salinity in the Southern Hemisphere has a maximum of approximately 37 psu that extends zonally across the whole basin centered around 10° S. Basically this spatial distribution translates into a salinity front in the northwest corner of the basin along the trajectory of the coupled model's NBC (i.e. Fig. 3) while the equivalent for the forced model seems to follow the NEC (as in [Zhang et al., 2002](#)).

In summary, from the salinity distribution in Fig. 5 it can be clearly seen for POP3 that the high salinity subtropical waters does reach the EUC from both northern and southern Atlantic resulting in a high salinity tongue that extends eastward along the equator. For the coupled runs the equator is not marked by the high salinity subtropical waters and contribution from the Northern Hemisphere subtropics is not evident.

## Tropical extra-tropical interaction in the CCSM

I. Wainer et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



### 3.3 Annual Subduction

Next, the annual subduction is calculated as in [Cushman-Roisin \(1987\)](#), following [Lazar et al. \(2002\)](#), given by:

$$S_{ann} = -(V_H \cdot \nabla H + w_H), \quad (2)$$

5 where  $V_H$  and  $w_H$  are the climatological annual mean horizontal and vertical velocity at the depth  $H$ .  $H$  is the maximum climatological mean mixed layer depth.  $S_{ann}$  for the three runs can be seen in Fig. 8. Warm color (reds) shading represents positive contours associated with downwelling. Negative values (blues) indicate entrainment into the mixed layer. The overall general structure is similar between the runs, however  
10 there are some distinct differences, particularly between the coupled runs (T42 and T85) and the forced case (POP3). The common feature between T42 and T85, and to some extent POP3 is the broad entrainment region (negative contours) particularly in the high positive PV region in the east, in the Northern Hemisphere. In the west, between  $5^\circ \text{N}$ – $10^\circ \text{N}$ , at the NECC retroflection region, there is a strong region of positive  
15 values that are confined to the west of  $30^\circ \text{W}$  in the T42 run (Fig. 7, upper left panel) and spreads towards the east in T85 (Fig. 7, upper right panel) and POP3 (Fig. 7, lower panel). Similar to the the numerical study of [Lazar et al. \(2002\)](#), which would compare with POP3 in this study, the Southern Hemisphere shows a more regular distribution with respect to the Northern Hemisphere.

20 Compared to POP3, the equatorial upwelling signature in T42 is weak and confined to the west. At T85 a more basin-wide signal is observed. These characteristics are best observed in the vertical velocity field at the climatological mean maximum mixed layer depth shown in Fig. 8. Regional differences of upwelling/downwelling can be observed which imply that the atmospheric model resolution seems to affect the strength and spatial features of the STC/TC system. Considering that the annual subduction  
25 rate is largely affected by the strength and distribution of the wind fields (e.g. [Inui et al., 2002](#); [Lazar et al., 2002](#); [Liu and Philander, 1995](#)) the response is expected to be different. Using the forced case as a realistic base for comparison, i.e. the POP3 results are

OSD

3, 55–84, 2006

## Tropical extra-tropical interaction in the CCSM

I. Wainer et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

EGU



in good agreement with the observation analysis of Zhang et al. (2003), examination of the coupled runs reveals that T85 has a better representation of the Atlantic STC. To confirm these results we examine the Lagrangian trajectories of floats released at the  $\sigma_\theta=25.3$  surface (Fig. 9) partly reproduced from Alexander et al., 2005<sup>4</sup>. The interval between floats is one year. What is clear from the Lagrangian analysis is the fact that regardless of the atmospheric model resolution (and its impact on the wind field structure), there seems to be no Northern Hemisphere contribution to the STC/TC circulations in the coupled models. The trajectories on this single isopycnal surface seen in Fig. 9 do not capture all the complexity of the flow that was shown in Fig. 6 for the density layers averaged between  $24.5 \text{ kg m}^{-3} < \sigma_\theta < 26.5 \text{ kg m}^{-3}$ . It should also be noted that the Lagrangian trajectory analysis takes into account the projection of the velocity field onto an isopycnal surface, so that it does not account for possible diapycnal flow.

## 4 Conclusions

Tropical-extra-tropical interactions can be a key element in the low-frequency sea surface temperature (SST) variability. Many stand alone modeling studies have been performed to understand subduction and transport pathways in the upper circulation of the Atlantic Ocean. However, little is known about these tropical extra-tropical exchanges within a coupled GCM framework.

The most significant results of the intercomparison of the STC pathways in the three different numerical experiments (two coupled and one stand-alone ocean simulation), seen in both the float trajectories (Fig. 8) and the salinity projected onto the  $25.3\sigma_\theta$  surface (Fig. 5), is the fact that for the coupled runs all subtropical waters that feed the EUC is from Southern Hemisphere waters. The EUC in POP3 reaches the equator from the Northern Hemisphere after going around the PV ridge created by the upward

<sup>4</sup>Alexander, M., Yin, J., Branstator, G., Capotondi, A., Cassou, C., Cullather, R., Kwon, Y., Norris, J., Scott, J., and Wainer, I.: Extratropical Ocean-Atmosphere Variability in CCSM3, J. Clim., submitted, 2005.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Ekman pumping. In other words, in all three runs north of the equator, the circulation is characterized by a closed region with upward Ekman pumping directly related to the wind stress distribution. The predominant Southern Hemisphere contribution to the Atlantic EUC is in fact mentioned in [Hazeleger et al. \(2003\)](#) and has already been shown in part by [Metcalf and Stalcup \(1967\)](#), [Fratantoni et al. \(2000\)](#) and [Malanotte-Rizzoli et al. \(2000\)](#). What is different in the coupled runs is a closed cyclonic circulation found in the southeastern edge of the Tropical Atlantic that forces the interior flow towards the west. This anomalous circulation arises from known biases in the coupled model and is much more evident in T42 than in T85. When compared to POP3, T85 seems to capture more of the STC/TC dynamics. It should be noted that these warm SST bias in the eastern basin is not unique to the CCSM and has been noted to occur in several other coupled climate models ([Davey et al. \(2002\)](#)).

Although the literature gives a good idea in of how the STC behaves, there is still much discussion on how the transport is partitioned between the western boundary and the interior of the basin. In the CCSM there is no debate on the contribution of water masses from each hemisphere since all the waters originate from the Southern Hemisphere.

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OSD

3, 55–84, 2006

## Tropical extra-tropical interaction in the CCSM

I. Wainer et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

EGU

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OSD

3, 55–84, 2006

## Tropical extra-tropical interaction in the CCSM

I. Wainer et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

EGU

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# **Tropical extra-tropical interaction in the CCSM**

I. Wainer et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Subtropical and Tropical Atlantic: the Atlantic Subtropical Cells, J. Phys. Oceanogr., 33, 1783–1797, 2003. [56](#), [66](#), [70](#)

OSD

3, 55–84, 2006

**Tropical extra-tropical  
interaction in the  
CCSM**

I. Wainer et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

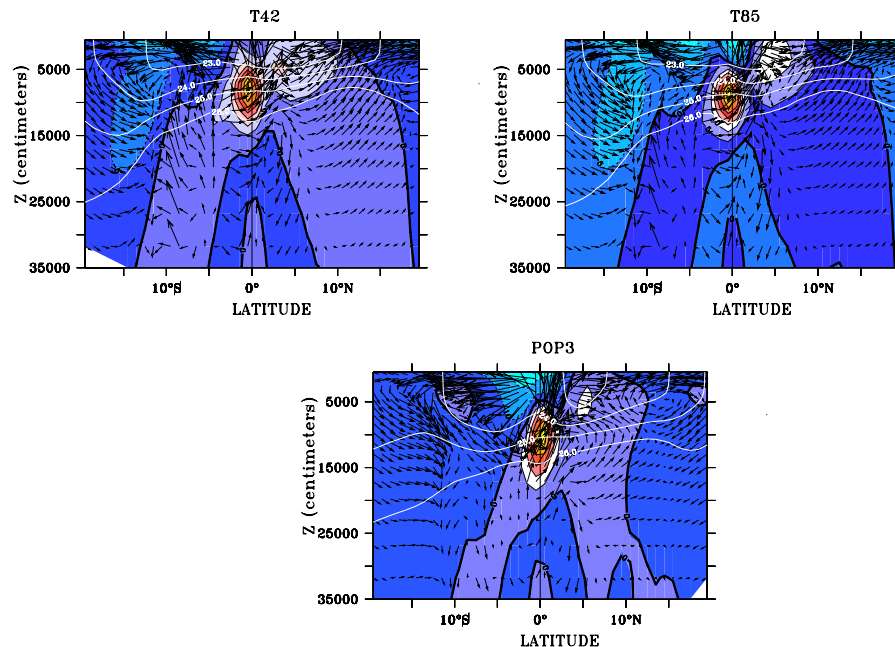
Full Screen / Esc

Printer-friendly Version

Interactive Discussion

# Tropical extra-tropical interaction in the CCSM

I. Wainer et al.



**Fig. 1.** mean meridional versus vertical velocity ( $\times 10^3$ ) circulation vectors superimposed on the zonal velocity field (contour interval is 5 cm/s for T85 and T42 – upper panels, and 10 cm/s for POP3 – bottom panel). Thick white horizontal curves are selected isopycnals at  $5 \text{ kg m}^{-3}$  contour intervals. Note that for each run a different isopycnal surface crosses the core of the EUC. Thick black line is the zero contour for zonal velocity (in color shading).

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

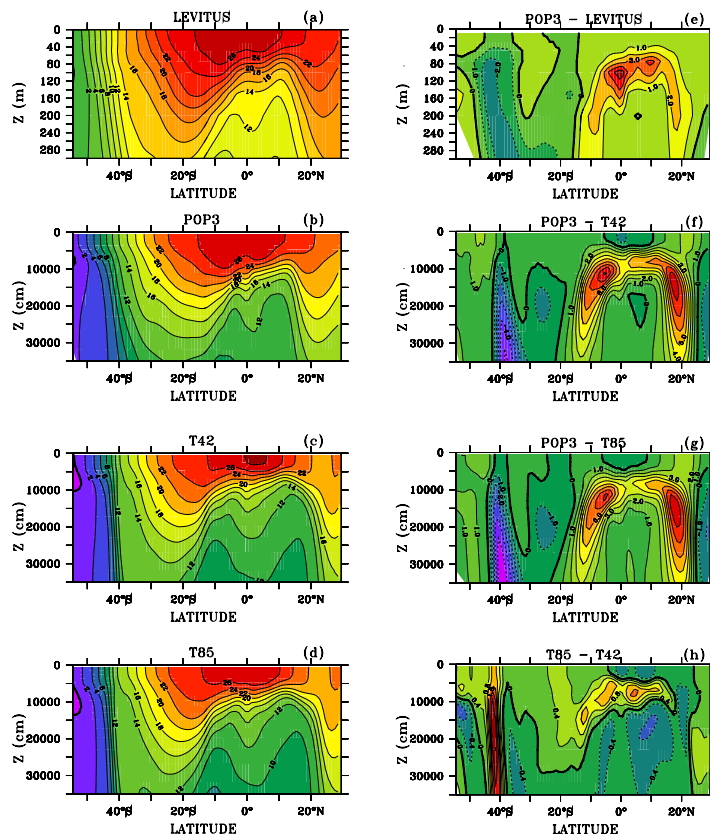
Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Fig. 2.** Climatological mean temperature ( $^{\circ}\text{C}$ ) at  $30^{\circ}\text{W}$  down to 300 m between  $30^{\circ}\text{S}$ – $30^{\circ}\text{N}$  for the (a) Levitus et al. (2000) Climatology, (b) POP3, (c) T42 (d) T85; their differences (e) POP3 – Levitus et al. (2000) Climatology, (f) POP3 – T42, (g) POP3 – T85 and (h) T85 – T42; and the equatorial sections for (i) POP3, (j) T42 (k) T85 (l) Levitus et al. (2000) Climatology .

## Tropical extra-tropical interaction in the CCSM

I. Wainer et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

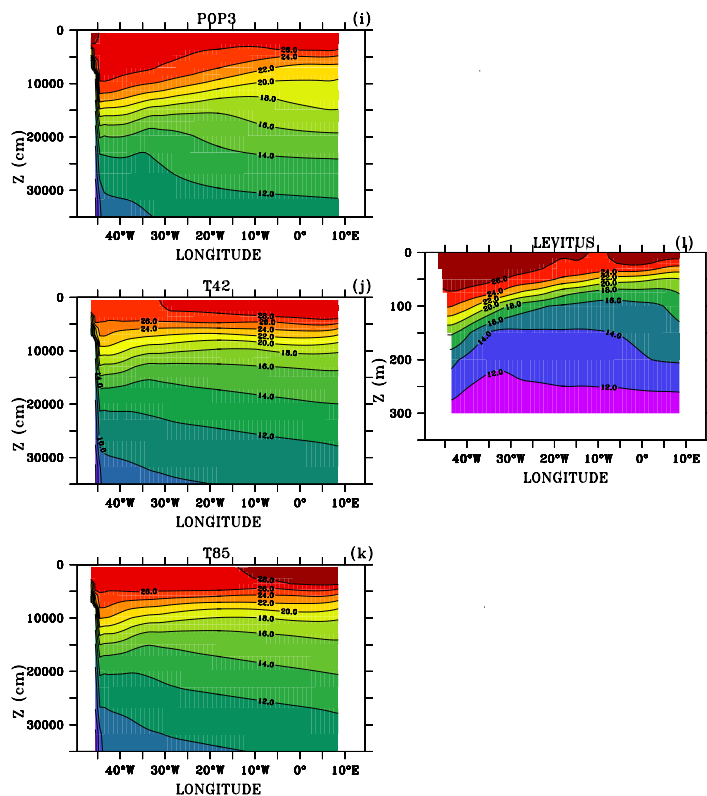
Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Tropical extra-tropical  
interaction in the  
CCSM**

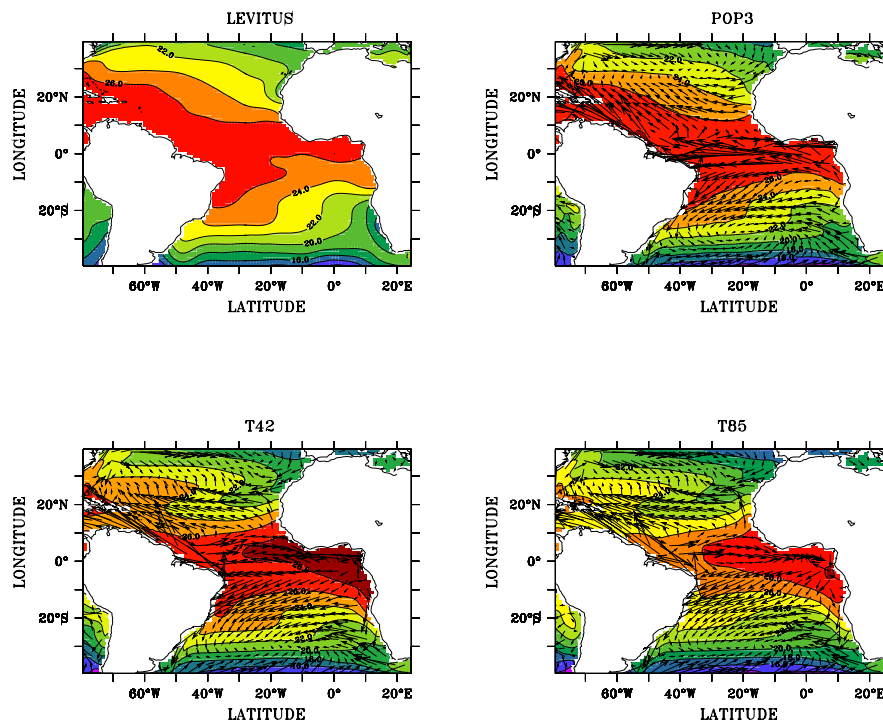
I. Wainer et al.

**Fig. 2.** Continued.[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)



# Tropical extra-tropical interaction in the CCSM

I. Wainer et al.

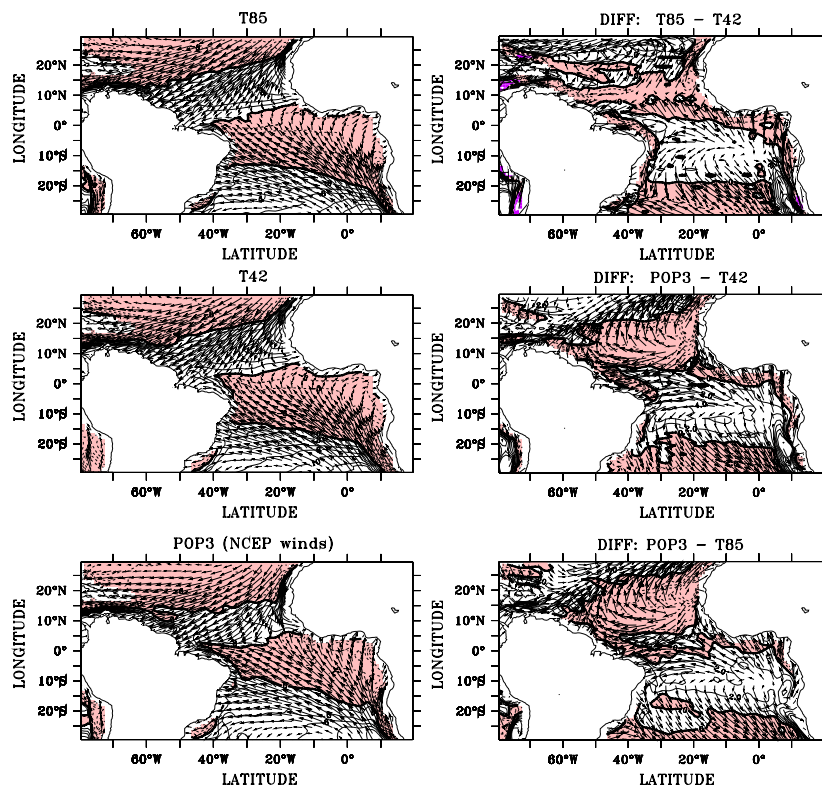


**Fig. 3.** Climatological mean (Levitus et al., 2000), model surface temperature (contour interval is 2°C) and current field vectors (cm/s).

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

# Tropical extra-tropical interaction in the CCSM

I. Wainer et al.



**Fig. 4.** Climatological mean wind stress (vectors) and curl (shaded contours with interval of  $0.5 \times 0.1 \text{ N/m}^2$ ) for the coupled runs T85 and T42 (a) and (b), respectively and (c) POP3–NCEP, which is the forcing for the ocean component. Differences are shown in the right hand side panels: (d) shows the difference between the two coupled runs (T85–T42); (e) shows the difference between POP3 (NCEP wind stress) and T42 and (f) is the difference between POP3 and T85. Pink shading denotes negative wind stress curl.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

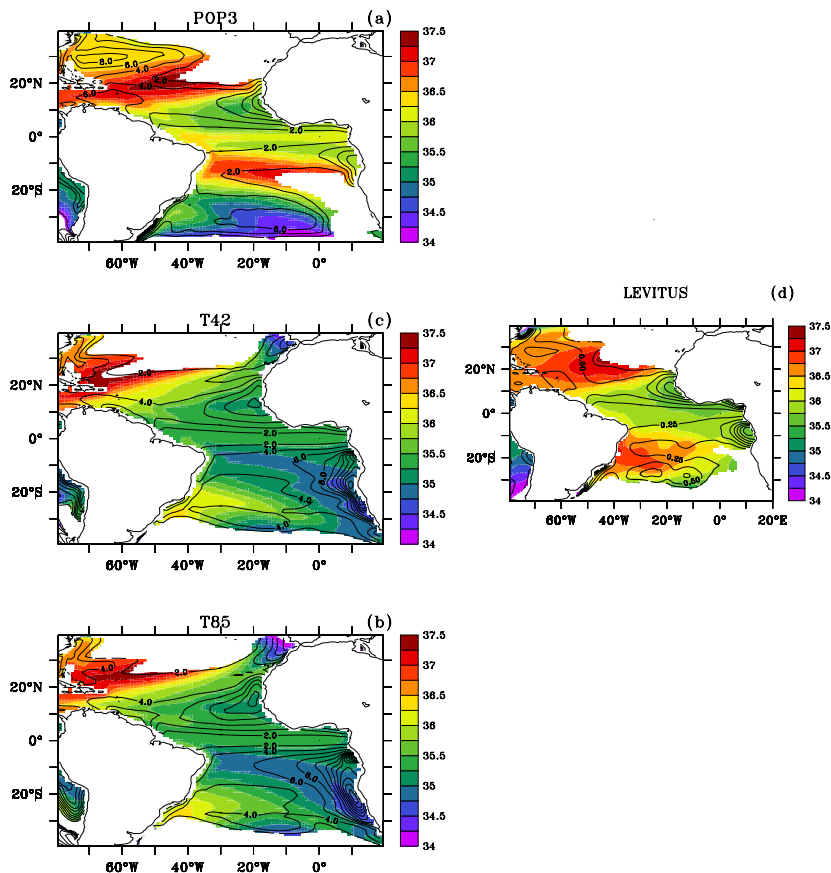
Full Screen / Esc

Printer-friendly Version

Interactive Discussion

# Tropical extra-tropical interaction in the CCSM

I. Wainer et al.



**Fig. 5.** Climatological mean absolute potential vorticity contours ( $\text{c.i.} = 10^{-10} \text{ m}^{-1} \cdot \text{s}^{-1}$ ) and Salinity in grams/kilogram on the  $\sigma_{\theta} = 25.3$  isopycnal surface as a function of longitude and latitude for (a) POP3, (b) T85, and (c) T42. Also plotted for reference is (d) potential vorticity contours and salinity distribution from Levitus et al. (2000). Salinity interval is 0.25.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

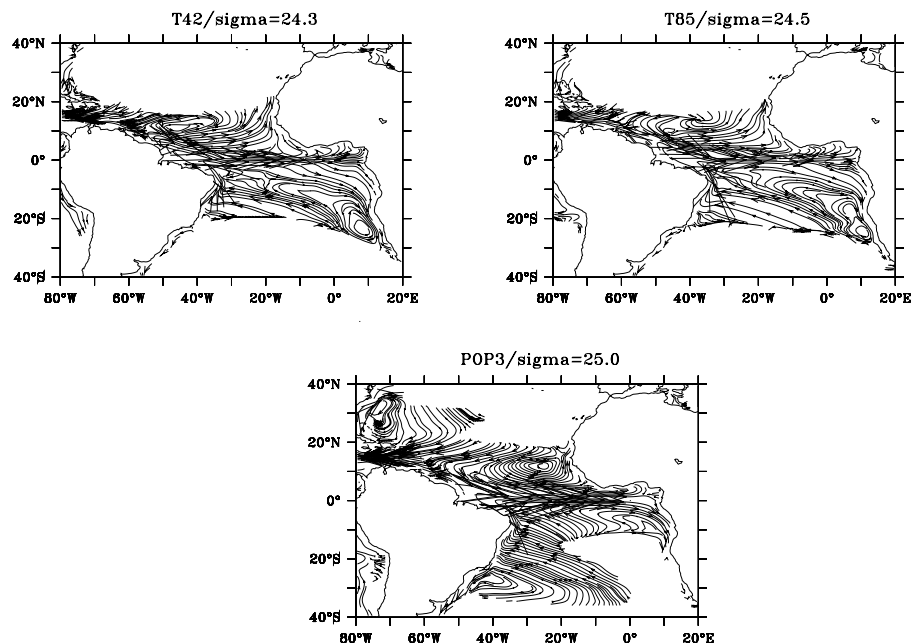
Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Tropical extra-tropical  
interaction in the  
CCSM**

I. Wainer et al.

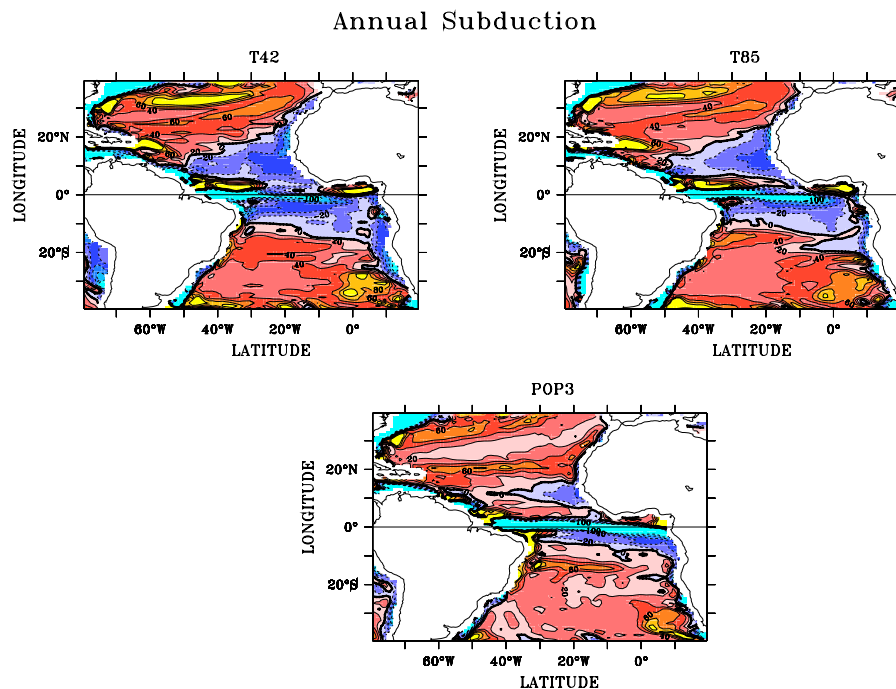


**Fig. 6.** Streamlines at the depth of the EUC core for each of the runs at  $\sigma_\theta=24.3 \text{ kg m}^{-3}$  and  $24.5 \text{ kg m}^{-3}$  isopycnal surface for the T42 and T85 coupled runs (top panels, respectively) and at  $\sigma_\theta= 25.0 \text{ kg m}^{-3}$  for POP3 (lower panel).

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

# Tropical extra-tropical interaction in the CCSM

I. Wainer et al.

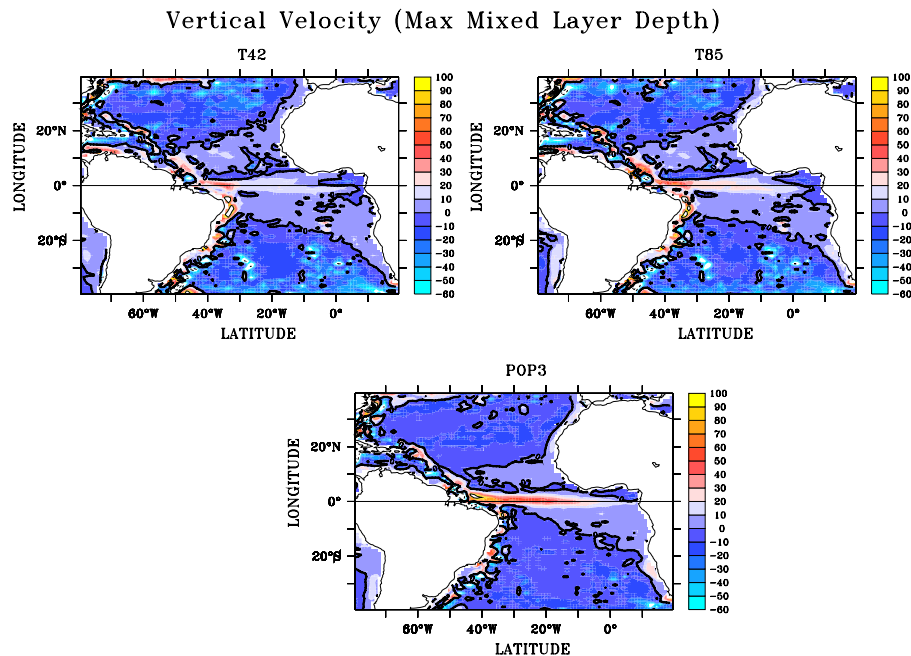


**Fig. 7.** Annual subduction rate  $S_{ann}$  for the three runs. Region with warm colors (reds, orange and yellow) correspond to positive values (subduction). Contour interval is m/year. Top panels: T42 (left) and T85 (right). Bottom panel: POP3.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

**Tropical extra-tropical  
interaction in the  
CCSM**

I. Wainer et al.

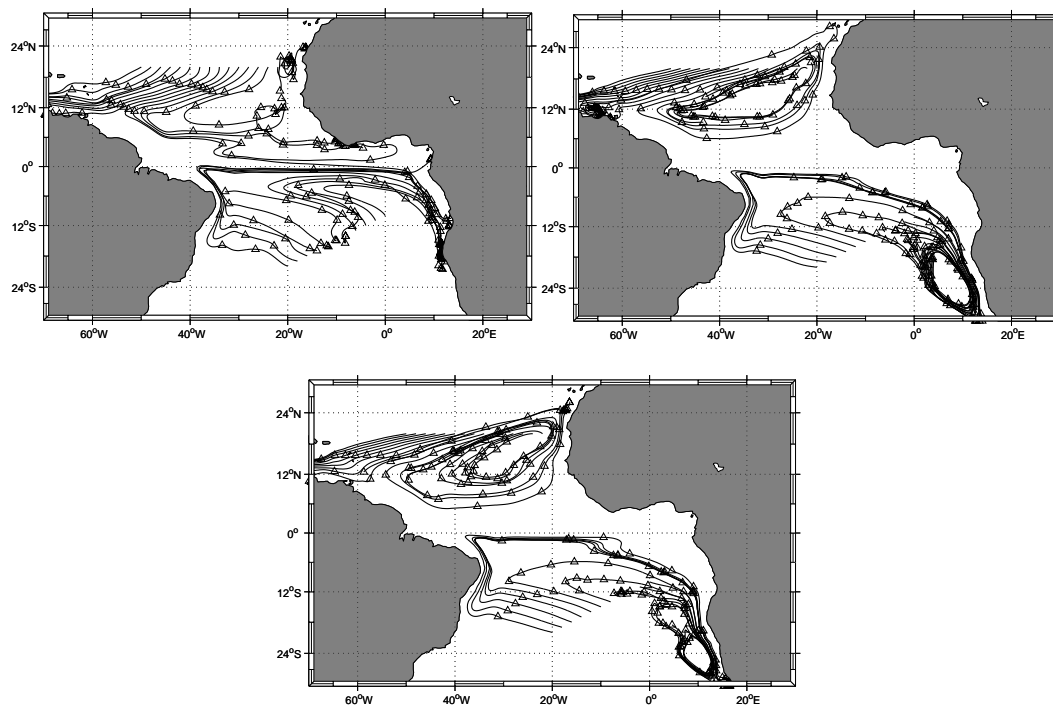


**Fig. 8.** Climatological annual mean vertical velocity (colour interval is 10 m/year) for the three runs calculated at the maximum climatological mean mixed layer depth.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

**Tropical extra-tropical  
interaction in the  
CCSM**

I. Wainer et al.



**Fig. 9.** Lagrangian trajectories of subducted waters on the  $\sigma_{\theta}=25.3$  surface. Top: POP3, middle: T85; bottom: T42. Time interval is one year.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)